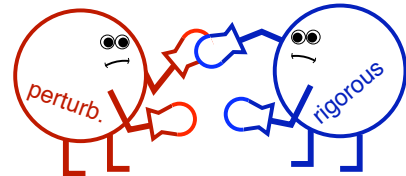


Which theory for dissipation in quantum systems (such as qubits) ?

Robert S Whitney



Discussions:

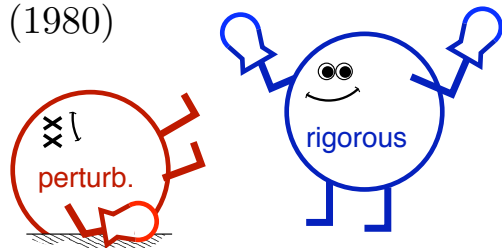
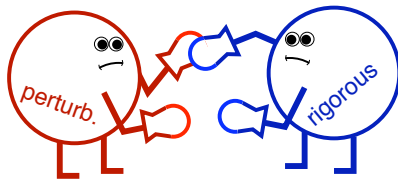
- J. Siewert (Regensburg)
- Y. Gefen (Weizmann)
- S. Stenholm (Stockholm)
- D. O'Dell (McMasters)
- A. Shnirman (Karlsruhe)
- M. Clusel (NYU)
- M. Hall (Australian Nat. Univ.)

Reference: R.W., J. Phys. A: Math. Theor. **41**, 175304 (2008)

Overview – Two theories of dissipation

- [1] *Phenomenological method* Lindblad (1976) – rigorous
- [2] *Microscopic method* Bloch-Redfield (1957) – perturbative

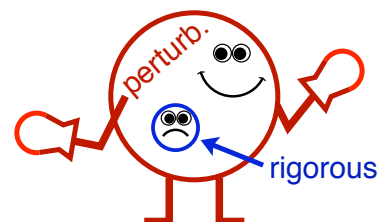
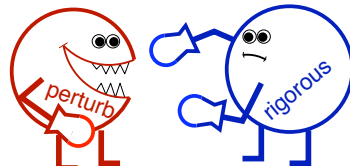
RESULTS DISAGREE Dumcke-Spohn (1980)



YET Bloch-Redfield (perturb.) remains most popular theory for solid-state qubits!

Minor improvement to perturb. method

More powerful
Less user-friendly

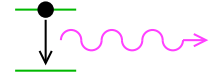


Why dissipative quantum mechanics?

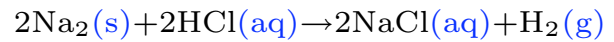
No quantum system is isolated \Leftrightarrow energy exchange

Dissipation: common in *quantum* world as in classical world

- Quantum optics: decay of excited atomic state



- Chemical physics: most reactions



- Statistical physics: what is equilibrium?

- Solid-state: Resistance in nanoscale circuits

- Quantum information: Decoherence of qubits

- Philosophy: No Schrödinger cats in everyday life

$$\text{density matrix} \neq \begin{pmatrix} |\text{cat}\rangle\langle\text{cat}| & |\text{cat}\rangle\langle\text{baby}| \\ |\text{baby}\rangle\langle\text{cat}| & |\text{baby}\rangle\langle\text{baby}| \end{pmatrix}$$

Summary of previous works

Phenomenological method

- Know nothing about environ.
- Know system dynamics are physical

\Rightarrow Probabilities are real, positive and sum to one

+ rigorous

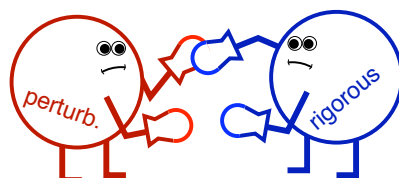
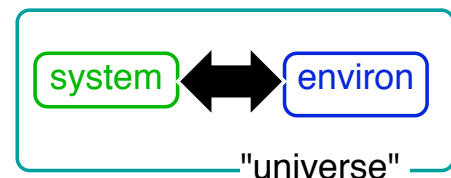


Microscopic method

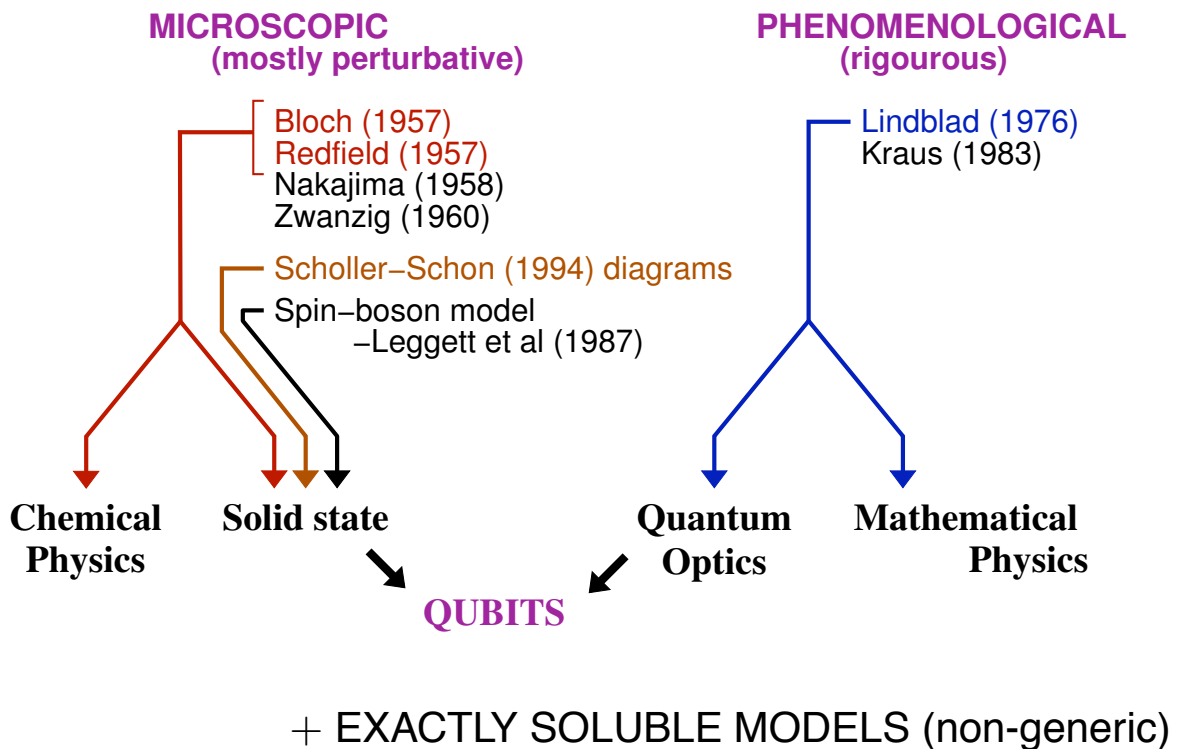
- Know everything about environ.

\Rightarrow know $\hat{\mathcal{H}}_{\text{universe}}$

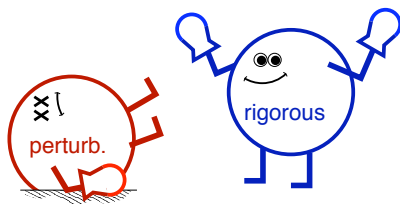
+ typically perturbative



Road-map of previous works



Why use the perturbative method?



...but rigorous method is
phenomenological

cf. superconductor: Landau-Ginzberg vs. BCS

Only a microscopic theory can answer certain questions:

- dependence on environ. temperature?
- dependence on environ. spectrum?
- How do we engineer system to *minimize* decoherence?

Perturbative method *usually* gives “plausible” results

but it sometimes generates *negative* probabilities

...so can we really trust it??

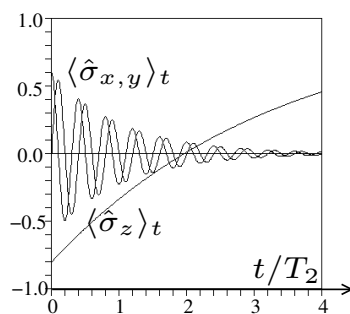
Density-matrix and Bloch-sphere

Observable: $\langle \hat{O} \rangle_t = \text{tr} [\hat{O} \hat{\rho}(t)]$

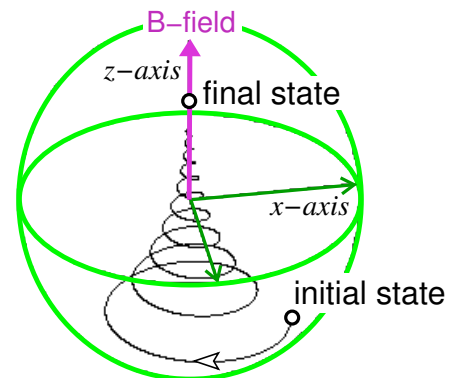
Evolution: $\frac{d}{dt} \hat{\rho}(t) = -i [\hat{\mathcal{H}}, \hat{\rho}(t)] + \text{dissipation}$

Any two-level system \equiv spin-half

$$\hat{\rho}(t) = \frac{1}{2} \begin{pmatrix} 1 + \langle \hat{\sigma}_z \rangle_t & \langle \hat{\sigma}_x \rangle_t - i \langle \hat{\sigma}_y \rangle_t \\ \langle \hat{\sigma}_x \rangle_t + i \langle \hat{\sigma}_y \rangle_t & 1 - \langle \hat{\sigma}_z \rangle_t \end{pmatrix}$$



\Rightarrow plot $\langle \hat{\sigma}_x \rangle_t$ on x -axis
... etc



Lindblad's master equation

$$\frac{d}{dt} \hat{\rho}(t) = -i [\hat{\mathcal{H}}, \hat{\rho}(t)] - \mathcal{L}[\hat{\rho}(t)]$$

For set of "orthogonal" and "normalized" operators, \hat{L}_n s.,

$$\mathcal{L}[\hat{\rho}(t)] \equiv \sum_n \lambda_n \left(\hat{L}_n^\dagger \hat{L}_n \hat{\rho}(t) + \hat{\rho}(t) \hat{L}_n^\dagger \hat{L}_n - 2 \hat{L}_n \hat{\rho}(t) \hat{L}_n^\dagger \right)$$

with **no negative** λ_n s

Lindblad proved: **All** other master equation are **unphysical**
— negative probabilities

Rigorous proof based on following **postulates**:

- Evolution continuous in time
- Eqn. translationally invariant in time
- ...
- **physical** \equiv "complete positivity"

Understanding Lindblad eqn.

Eqn. is remarkable simple!!

“Markovian” — evolution is function of $\hat{\rho}(t)$ not $\int dt' \hat{\rho}(t')(\dots)$

$$\frac{d}{dt}\hat{\rho}(t) = -i[\mathcal{H}, \hat{\rho}(t)] - \mathcal{L}[\hat{\rho}(t)]$$

Example: spin-half with one env.-coupling $\hat{L}_1 = \hat{\sigma}_z$

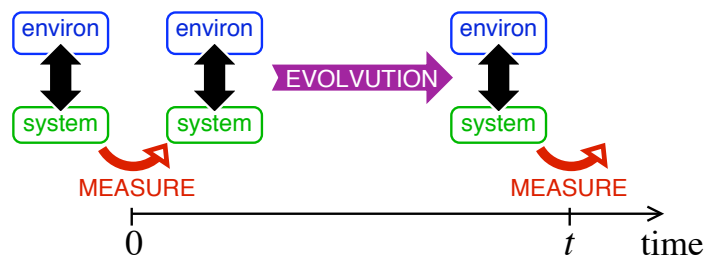
$$\mathcal{L}[\hat{\rho}(t)] \equiv \lambda \left(2\hat{\rho}(t) - 2\hat{\sigma}_z \hat{\rho}(t) \hat{\sigma}_z \right) = 2\lambda \begin{pmatrix} 0 & \langle \hat{\sigma}_x \rangle - i \langle \hat{\sigma}_y \rangle \\ \langle \hat{\sigma}_x \rangle + i \langle \hat{\sigma}_y \rangle & 0 \end{pmatrix}$$

In General:

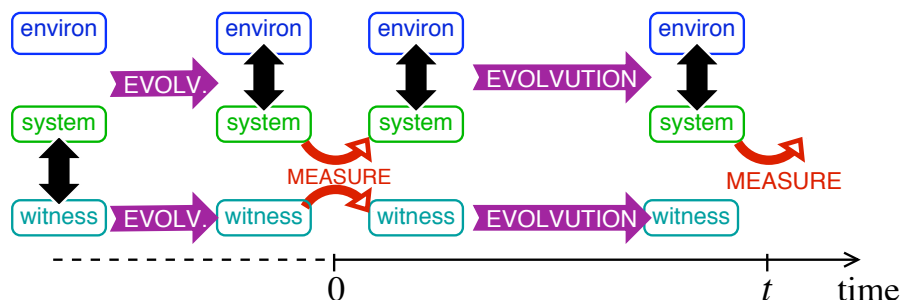
For $\lambda_n > 0$ — decay in all directions \perp to \hat{L}_n
 ... but for $\lambda_n < 0$ — growth

Positivity and complete positivity

Positivity



Complete positivity



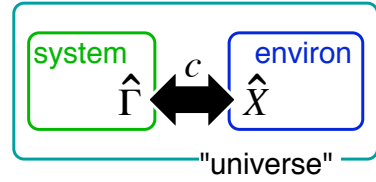
All *completely positive* dynamics are also *positive*

Occasionally the two are equivalent — as for my 2-level system model

Bloch-Redfield's master equation I

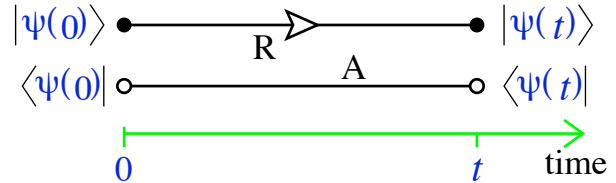
Hamiltonian:

$$\hat{\mathcal{H}}_{\text{univ}} = \hat{\mathcal{H}}_{\text{sys}} + \underbrace{c\hat{\Gamma}\hat{X}}_{\text{perturbation}} + \hat{\mathcal{H}}_{\text{env}}$$



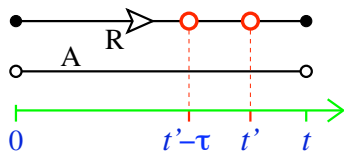
Evolution: $\hat{\rho}(t) = \exp[-i\hat{\mathcal{H}}_{\text{univ}}t] \hat{\rho}(0) \exp[i\hat{\mathcal{H}}_{\text{univ}}t]$

$$\hat{\rho}(t) = |\psi(t)\rangle\langle\psi(t)|$$

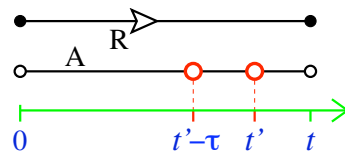


Second-order perturbation theory:

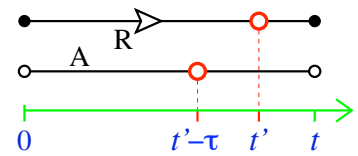
2nd order in $\exp[-i\hat{\mathcal{H}}_{\text{univ}}t]$



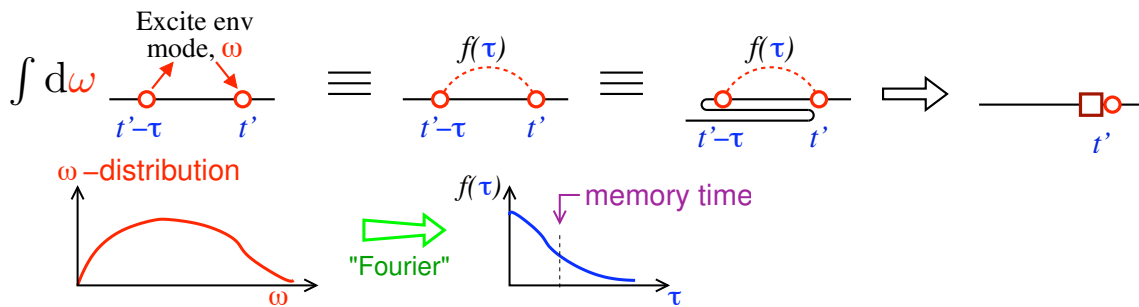
2nd order in $\exp[i\hat{\mathcal{H}}_{\text{univ}}t]$



1st order in both



Bloch-Redfield's master equation II



$$\hat{\Gamma} = \text{red circle}$$

$$\hat{\Xi}(t) = \text{red square} \equiv \int_0^t d\tau \text{ (red circle at } t'-\tau \text{ and } t')$$

Dissipative part of $\frac{d}{dt}\hat{\rho}(t)$

$$\mathcal{L}[\hat{\rho}(t)] = c^2 \left(\hat{\Gamma}\hat{\Xi}(t)\hat{\rho}(t) + \hat{\rho}(t)\hat{\Xi}^\dagger(t)\hat{\Gamma}^\dagger - \hat{\Xi}(t)\hat{\rho}(t)\hat{\Gamma}^\dagger - \hat{\Gamma}\hat{\rho}(t)\hat{\Xi}^\dagger(t) \right)$$

Bloch-Redfield in Lindblad's form

[spin-1/2 – Dumcke-Spohn (1979)]

Dissipative part of $\frac{d}{dt}\hat{\rho}(t)$

$$\mathcal{L}[\hat{\rho}(t)] = c^2 \left(\hat{\Gamma} \hat{\Xi}(t) \hat{\rho}(t) + \hat{\rho}(t) \hat{\Xi}^\dagger(t) \hat{\Gamma}^\dagger - \hat{\Xi}(t) \hat{\rho}(t) \hat{\Gamma}^\dagger - \hat{\Gamma} \hat{\rho}(t) \hat{\Xi}^\dagger(t) \right)$$

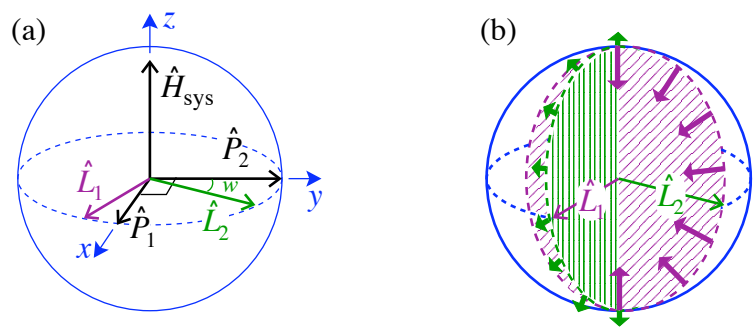
- Operators not orthogonal (unlike Lindblad) \Rightarrow ORTHOGONALIZE
- Cross coupling \Rightarrow DIAGONALIZE

$$\mathcal{L}[\hat{\rho}(t)] = \sum_{n=1,2} \lambda_n \left(\hat{L}_n^\dagger \hat{L}_n \hat{\rho}(t) + \hat{\rho}(t) \hat{L}_n^\dagger \hat{L}_n - 2 \hat{L}_n \hat{\rho}(t) \hat{L}_n^\dagger \right)$$

...same as rigorous eqn. with $\lambda_2 \propto -c^2 \leftarrow$ **always negative**

2-level system

with $\hat{\mathcal{H}} \propto \hat{\sigma}_z$
& $\hat{\Gamma} = \hat{\sigma}_x$



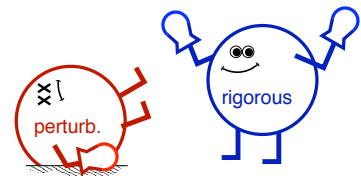
Obituary for perturbative method 1957-1979

Rigorous theory says

“**negative λ means negative probs.**”

We have $\lambda_2 < 0$ for any c

\Rightarrow perturbative method unphysical



Numerics confirm negative probabilities - Gaspard & co-workers

Resurrection of perturbative method

Forgot $\hat{\Xi}(t)$ is time-dependent

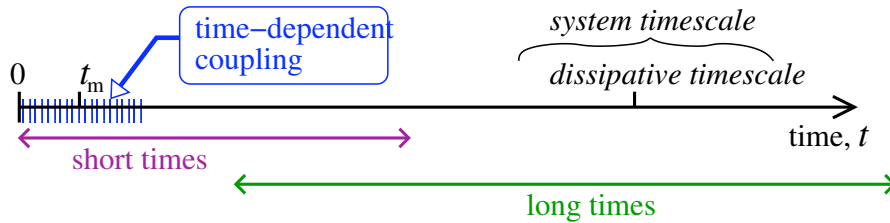
- Invalidates rigorous proof !! Assumption that eqn. is time-indep.
- Numerics change with t-depend. – Gaspard & co-workers

OPEN QUESTION: Does perturb. method **avoid** negative probs. ??

Time-dependence of parameters

$$\frac{d}{dt} \hat{\rho}(t) = -i \left[\hat{\mathcal{H}}, \hat{\rho}(t) \right] - \mathcal{L}[\hat{\rho}(t), t]$$

Time-dependent $\mathcal{L}[\hat{\rho}(t), t]$ since $\hat{\Xi}(t) = \square \equiv \int_0^t d\tau \overbrace{f(\tau)}^{f(\tau)}$



Analogy: without matrix structure

$$\frac{d}{dt} y(t) = (ih - F(t))y(t)$$

where $F(t) \rightarrow f$ for $t \gg$ memory time

Approx: $\frac{d}{dt} y(t) = (ih - f)y(t)$

Trivial to solve, but incorrect for $t \sim$ memory time

Proving positivity for short memory-times

... continue analogy $\frac{d}{dt} y(t) = (ih - G(t))y(t)$

[I] Short-times $t \ll 1/G(t)$

$$y(t) \simeq \left[e^{iht} - \int_0^t dt' e^{ih(t-t')} G(t') e^{-iht} + \mathcal{O}[G^2] \right] y(0)$$

[II] Long-times $t > t_0 \gg$ memory-time

$$\frac{d}{dt} y(t) \simeq (ih - g)y(t) \quad \Rightarrow \quad y(t) \simeq e^{(ih-g)(t-t_0)} y(t_0) + \mathcal{O}[G-g]$$

Large overlap of regimes if memory time $\ll 1/|G(t)| \sim c^{-2}$

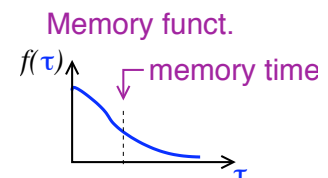
Do same with *matrix* eqn. for $\hat{\rho}(t)$

Check purity is not greater than **ONE** \Rightarrow No negative probs.

i.e. find maxima of purity

— constraint: initial state is physical

...but NEED form for $f(\tau)$ cf. $G(t)$ above



Two-level system

Simplest system: Two-level system

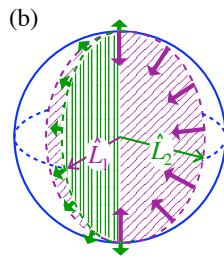
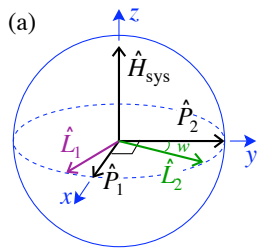
Simplest environment: [a] smooth very-broad spectrum of excitations

[b] high temperature

⇒ Memory time \ll system dynamics

Simplest coupling: $\hat{\Gamma} = \hat{\sigma}_x$ ⇒ $\hat{\mathcal{H}}_{\text{univ}} = -B\hat{\sigma}_z + c\hat{\sigma}_x\hat{X} + \hat{\mathcal{H}}_{\text{env}}$

Proven : NO negative probabilities



Example: initial state = $|\uparrow_x\rangle$

neglect t -depend.

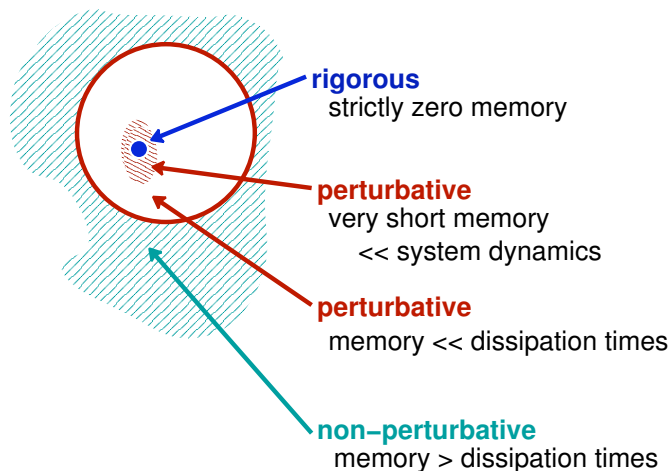
$$\text{purity} = 1 + c^2 t$$

keep t -depend.

$$\text{purity} = 1 - c^2 t^3 / t_m^2$$

Conclusions

Regimes of applicability of theories:



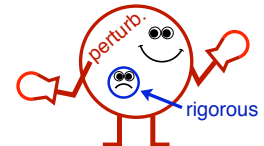
POSITIVITY



NO THEORY

For two-level system with \perp env.-coupling

Good small parameter ... but NO proof



... but NEED to keep *time-dependence* in perturbative method

+ perturbative method is microscopic

Enabling study of how dissipation is affected by environment details (temperature, spectrum, etc)